

TGW 1761

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112701-600

In Re Application Of: Nicolas et al.

FEB 28 2006

PTAB TRADEMARK EXAMINER

|                 |                |          |              |                |                  |
|-----------------|----------------|----------|--------------|----------------|------------------|
| Application No. | Filing Date    | Examiner | Customer No. | Group Art Unit | Confirmation No. |
| 10/532,267      | April 22, 2005 | Unknown  | 29157        | 1761           | 1492             |

Title: FLOUR BASED FOOD PRODUCT COMPRISING THERMOSTABLE ALPHA-AMYLASE

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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 (Under 37 CFR 1.97(b) or 1.97(c))

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 PATENT & TRADEMARK OFFICE

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| 10/532,267      | April 22, 2005 | Unknown  | 29157        | 1761           | 1492             |

Title: FLOUR BASED FOOD PRODUCT COMPRISING THERMOSTABLE ALPHA-AMYLASE

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
  - Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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 (Date)

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 Signature of Person Mailing Correspondence  
 Heather Foster

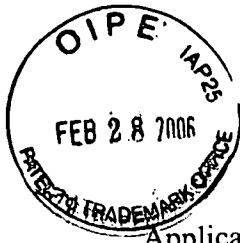
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 Typed or Printed Name of Person Mailing Certificate

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 Signature

Robert M. Barrett  
 Reg. No. 30,142  
 Customer No. 29157

Dated: February 24, 2006

cc:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nicolas et al.  
Appl. No.: 10/532,267  
Conf. No.: 1492  
Filed: April 22, 2005  
Title: FLOUR BASED FOOD PRODUCT COMPRISING THERMOSTABLE ALPHA-AMYLASE  
Art Unit: 1761  
Examiner: Unknown  
Docket No.: 112701-600

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents are enclosed.

#### U.S. PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u>       | <u>Inventor</u>    |
|---------------------|-------------------|--------------------|
| 2,615,810           | October 28, 1952  | Stone              |
| 3,026,205           | March 20, 1962    | Stone              |
| 3,919,434           | November 11, 1975 | Tsen et al.        |
| 4,299,848           | November 10, 1981 | De Stefanis et al. |
| 5,059,430           | October 22, 1991  | Bowles             |
| 5,176,927           | January 5, 1993   | Haarasilta et al.  |
| 2002/0004084 A1     | January 10, 2002  | Engels et al.      |
| 2002/0142069 A1     | October 3, 2002   | Elmusa             |

#### FOREIGN PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u>      | <u>Country</u> |
|---------------------|------------------|----------------|
| 1 28 201            | November 2, 1977 | Germany        |

|              |                    |        |
|--------------|--------------------|--------|
| 0 445 585 A1 | September 11, 1991 | Europe |
| 0 487 122 A2 | May 27, 1992       | Europe |
| WO 99/53769  | October 28, 1999   | PCT    |
| WO 01/11975  | February 22, 2001  | PCT    |
| WO 02/39820  | May 23, 2002       | PCT    |

#### OTHER DOCUMENTS

“Effect of alpha-amylase and protease on the rheological characteristics of dough and quality of biscuits” ADVANCES IN FOOD SCIENCE, vol. 19, no. 1/2 , 1997, pages 15-21

“Enzymeinsatz zur Rationalisierung der Weißerproduktion I. Zum Einsatz von Proteasen und Amylasen” BACKER UND KONDITOR, vol. 32, no. 1, 1984, pages 22-24

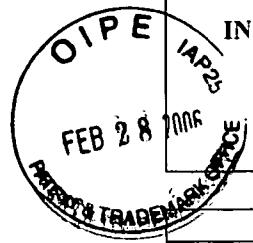
Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

By \_\_\_\_\_  
\_\_\_\_\_  
Robert M. Barrett  
Reg. No. 30,142  
Customer No. 29157

Dated: February 24, 2006



|   |                   |                 |
|---|-------------------|-----------------|
| <b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br>(Use several sheets if necessary) | Atty Docket No.   | Application No. |
|   | 112701-600        | 10/532,267      |
|   | Applicant         | Date Submitted  |
| Nicolas, et al.   | February 24, 2006 |                 |
| Filing Date   | Group             |                 |
| April 22, 2005  | 1761              |                 |

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

| Examiner's Initials | Document Number | Publication Date | Country | Class | Subclass | Translation |    |
|---------------------|-----------------|------------------|---------|-------|----------|-------------|----|
|                     |                 |                  |         |       |          | Yes         | No |
| /LT/                | 1 28 201        | 11/02/1977       | Germany |       |          |             |    |
|                     | 0 445 585 A1    | 09/11/1991       | Europe  |       |          |             |    |
|                     | 0 487 122 A2    | 05/27/1992       | Europe  |       |          |             |    |
|                     | WO 99/53769     | 10/28/1999       | PCT     |       |          |             |    |
|                     | WO 01/11975     | 02/22/2001       | PCT     |       |          |             |    |
| /LT/                | WO 02/39820     | 05/23/2002       | PCT     |       |          |             |    |
|                     |                 |                  |         |       |          |             |    |
|                     |                 |                  |         |       |          |             |    |

Examiner's  
Initials

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

“Effect of alpha-amylase and protease on the rheological characteristics of dough and quality of biscuits” ADVANCES IN FOOD SCIENCE, vol. 19, no. 1/2 , 1997, pages 15-21

/LT/ "Enzymeinsatz zur Rationalisierung der Wfeelprduktion I. Zum Einsatz von Proteasen und Amylasen" BACKER UND KONDITOR, vol. 32, no. 1, 1984, pages 22-24

|   |                             |
|---|-----------------------------|
| Examiner: /Lien Tran / (06/20/2008)   | Date Considered: 06/20/2008 |
| <p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> |                             |